



CAU 2761  
#4  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Rodriguez et al.**

§ Group Art Unit: 2761

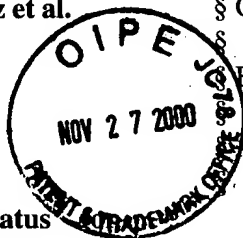
Serial No.: 09/329,461

Examiner: Not assigned

Filed: June 10, 1999

Attorney Docket No.: AT9-99-085

For: **Method and Apparatus  
Encoding Transactions for Goods and  
Services using an E-Receipt**



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Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on November 22, 2000.

By:

*KDouthitt*

Krista Douthitt

**TRANSMITTAL DOCUMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- International Search Report;
- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AF; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0392.

Respectfully submitted,

*Duke W. Yee*

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ATTORNEY FOR APPLICANT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Rodriguez et al.**

Serial No.: **09/329,461**

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Group Art Unit: **2761**

Examiner: **Unknown**

Attorney Docket No.: **AT9-99-085**



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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0392.

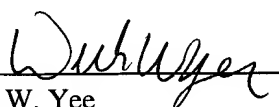
In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which

may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: November 22, 2000

  
\_\_\_\_\_  
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Page 1 of 1

<b>Form PTO-1449</b>		ATTORNEY DOCKET NO. <b>AT9-99-085</b>		Technology Center 2106 SERIAL NO. <b>09/329,461</b>	
<b>LIST OF PRIOR ART CITED BY APPLICANT</b> (Use several sheets if necessary)					
APPLICANT <b>Rodriguez et al.</b>					
FILING DATE <b>June 10, 1999</b>				GROUP ART UNIT <b>2761</b>	
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	<b>AA 5,739,512</b>	<b>Apr. 14, 1998</b>	<b>Tognazzini</b>	<b>235/380</b>	<b>May 30, 1996</b>
<b>FOREIGN PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	<b>AB WO 96/05758</b>	<b>Feb. 29, 1996</b>	<b>PCT</b>	<b>A47F 9/04</b>	<b>X</b>
	<b>AC EP 0 793 186 A2</b>	<b>Sep. 3, 1997</b>	<b>Europe</b>	<b>G06F 19/00</b>	<b>X</b>
	<b>AD DE 296 13 393 U 1</b>	<b>Jan. 22, 1998</b>	<b>Germany</b>	<b>H 04 M 1/21</b>	<b>X</b>
	<b>AE DE 196 34 418 A1</b>	<b>Mar. 5, 1998</b>	<b>Germany</b>	<b>G 06 F 17/60</b>	<b>X</b>
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>					
	<b>AF</b>	<b>IBM Technical Disclosure Bulletin, <i>Magnetic Media Receipt Function</i>, Vol. 32, No. 11, April 1990, pp. 373-374.</b>			
DATE CONSIDERED			EXAMINER		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					